

ANNEXURE -III

CATEGORY-III: RESEARCH, PUBLICATIONS AND ACADEMIC CONTRIBUTIONS (UGC Regulations on Minimum Qualifications for Appointment of Teachers dated September 18, 2010)

Brief Explanation: Based on the teacher's self-assessment, API scores are proposed for research, publications and academic contributions. The minimum API score required by teachers from this category is different for different levels. The self-assessment score will be based on verifiable criteria and will be finalized by the screening / selection committee.

S.No	APIs	Faculties of Languages Arts/Humanities/Social Sciences/Library/ Physical education/ Management	Number	Weight	Score= Weight* Number
III (A)	Research Papers published in: (Provide Data as per Appendix IV Table- III A)	Refereed journals*		15/ Publication	
		Non-refereed but recognized and reputable journals and periodicals, having ISBN/ISSN numbers.		10/ Publication	
		Conference proceedings as full papers, etc.(Abstracts not to be included)		10/ Publication	
III (B)	Research Publications (books, chapters in books, other than refereed journal articles) (Provide Data as per Appendix IV Table-III B)	Text or Reference Books Published by International Publishers with an established peer review system		50/sole author; 10/chapter in an edited book	
		Subject Books by/ National level publishers/State and Central Govt. Publications with ISBN/ ISSN numbers.		25/sole Author; 5/chapter in An edited book	
		Subject Books by other local publishers with ISBN/ISSN numbers.		15/sole Author; 3/chapter in an edited book	
		Chapters contributed to edited knowledge based volumes published by International Publishers		10/ Chapter	

		Chapters in knowledge based volumes in Indian/National level publishers with ISBN/ISSN numbers and with numbers of national and international directories.		5/Chapter	
III (C)	RESEARCH PROJECTS (Provide Data as per Appendix IV Table-III C)				
III (C) (i)	Sponsored Projects carried out/ ongoing	Major Projects amount mobilized with grants above 5.0 lakhs		20/each project	
		Major Projects Amount mobilized with minimum of Rs.3.00 lakhs up to Rs.5.00 lakhs		15/each Project	
		Minor Projects(Amount mobilized with grants above Rs.25,000 up to Rs.3 lakh)		10/each Project	
III (C) (ii)	Consultancy Projects carried Out/ ongoing	Amount mobilized with minimum of Rs.2.0 lakhs		10/every Rs.2.0 lakhs	
III (C) (iii)	Completed Projects: Quality Evaluation	Completed project report (Accepted by funding agency)		20/each major project and 10/each minor project	
III (C) (iv)	Projects Outcome/ Outputs	Major Policy document of Govt Bodies at Central and State level		30/each National level output or patent/50 /each for International Level	
III (D)	RESEARCH GUIDANCE (Provide Data as per Appendix IV Table-III D)				
III (D) (i)	M.Phil.	Degree awarded only		3/each candidate	
III (D) (ii)	Ph.D	Degree awarded		10/each candidate	
		Thesis submitted		7/each Candidate	

III(E)	TRAINING COURSES AND CONFERENCE/SEMINAR/WORKSHOP PAPERS				
III(E) (i)	Refresher courses, Methodology Workshops, Training, Teaching-Learning-Evaluation Technology Programmes, Soft Skills Development Programmes, Faculty Development Programmes (Max:30 points)	(a) Not less than two weeks duration		20/each	
		(b) One week duration		10/each	
III(E) (ii)	Papers in Conferences/ Seminars/ Workshops etc.** (Provide Data as per Appendix IV Table-III E(II))	Participation and Presentation of research Papers (oral/poster) in			
		a)International conference		10/each	
		b)National		7.5/each	
		c)Regional/State level		5/each	
		d)Local-University/ College level		3/each	
		(a) International		10/each	
(b) National level		5			

***The API score for paper in refereed journal / non-refereed journal under Category – III (A) would be augmented as follows:**

- 1. Indexed Indian journals - 5 points;**
- 2. Indexed foreign journals / periodicals - 10 points;**
- 3. Commissioned articles either in India or Foreign - 10 points;**
- 4. Papers with impact factor, i.e.**
 - a. Basis of legislation – 20 points;**
 - b. Basis of law reform – 20 points;**
 - c. Inclusion in course curriculum (other than self-inclusion) – 20 points;**
 - d. Frequency of citation – 15 points;**
 - e. Mention in a judgment by 15 points.**

The candidate should provide evidence to consider for award of additional API score as mentioned above.

** If a paper presented at Conference/Seminar is published in the form of its proceedings, the points would accrue for the publication (III (a)) and not under presentation (III (E)(ii)).

Note: Under Category – III for research publications, books, research projects, research guidance, list of papers in conferences / seminars are to be appended to the proforma.

2) The API for joint publications will have to be calculated in the following manner: Of the total score for the relevant category of publication by the concerned teacher, the first/ principal author and the corresponding author/ supervisor/ mentor of the teacher would share equally 60% of the total points and the remaining 40% would be shared equally by all other authors.

3) The parameters listed in table of category-III (Research and Academic contributions) shall have following capping in relation to the total API score claimed by the candidates:

III (A): Research Papers (Journals etc.)	30%
III (B): Research Publications (Books etc.)	25%
III (C): Research Projects	20%
III (D): Research Guidance	10%
III (E): Training Courses and Conference/ Seminars etc.	15%

**APPENDIX – IV
TABLE – III A
RESEARCH PUBLICATIONS**

S.No.	Nature of the Journal			Name/ Title of the Journal	Year of the publication	Index/Impact Factor/ISSN/ISB N	Self Evaluation Score	Remarks
	Refereed	Non- Refereed	Conferenc e Proceeding s					
1.								
2.								
3.								
4.								
.								
.								
Total Score								

APPENDIX – IV
TABLE – III A
RESEARCH PUBLICATIONS (BOOKS, CHAPTERS)

S.NO.	Nature of Book		Title of the Book	Sole Author/Multi Author/Chapter(s)	Year of Publication & publisher	International/National/State/Central & Local with ISSN/ISBN	Chapters in Edited Volume(s)		Self Evaluation Score	Remarks
	Text/Reference	Subject					International	National ISSN/ISBN		
1.										
2.										
3.										
4.										
.										
.										
Total Score										

**APPENDIX – IV
TABLE - -III C
RESEARCH PROJECTS**

S.No.	Sponsored/ Consultancy	Ongoing/completed and duration	Title of the Project	Major/ Minor	Funding Agency	Amount Sanctioned	Projects Outcome	Self Evaluation Score	Remarks
1.									
2.									
3.									
4.									
.									
.									
Total Score									

**APPENDIX – IV
TABLE – III D
RESEARCH GUIDANCE**

S.No.	Name of the Candidate	Degree Awarded M.Phil / Ph.D.	Year of Award	Title of the Dissertation/Thesis submitted for M.Phil / Ph.D.	Self Evaluation Score	Remarks
1.						
2.						
3.						
4.						
.						
.						
Total Score						

APPENDIX – IV

TABLE – III E (II)

PAPERS IN CONFERENCES / SEMINARS / WORKSHOPS

S.No	Conferences/ Seminars/ Workshops	Participation and Presentation of Research Paper Oral/Poster				Invited Lecture(s)/ Presentation in Conference/Symposia		Self Evaluatio n Score	Remarks
		Internatio nal	National	Regiona l	Local University College level	Internation al	National		
1.									
2.									
3.									
4.									
.									
.									
Total Score									